

### General information about company

Scrip code	517500
Name of the company	ROTO PUMPS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	15	10770620			10770620	69.7	10770620		10770620	69.7
(B)	Public	3824	4683185			4683185	30.3	4683185		4683185	30.3
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	3839	15453805			15453805	100	15453805		15453805	100

**Table I - Summary Statement holding of specified securities**

						Number of	Number of
						Shares	

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Locked in shares (XII)		pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				69.7					10770620
(B)	Public				30.3					3783585
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					14554205

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	15	10770620			10770620	69.7	10770620		10770620	69.7
	Sub-Total (A)(1)	15	10770620			10770620	69.7	10770620		10770620	69.7
(2)	Foreign										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	15	10770620			10770620	69.7	10770620		10770620	69.7
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	6500			6500	0.04	6500		6500	0.04
(f)	Financial Institutions/ Banks	1	500			500	0	500		500	0
(i)	Any Other (specify)	1	316204			316204	2.05	316204		316204	2.05
	Sub-Total (B)(1)	4	323204			323204	2.09	323204		323204	2.09

( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3630	3100969			3100969	20.07	3100969		3100969	20.07
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	315055			315055	2.04	315055		315055	2.04
(e)	Any Other (specify)	188	943957			943957	6.11	943957		943957	6.11
Sub-Total (B)(3)		3820	4359981			4359981	28.21	4359981		4359981	28.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3824	4683185			4683185	30.3	4683185		4683185	30.3
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		3839	15453805			15453805	100	15453805		15453805	100
Total (A+B+C )		3839	15453805			15453805	100	15453805		15453805	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(a)				69.7						10770620
Sub-Total (A)(1)				69.7						10770620
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				69.7						10770620
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									
(a)				0.04						0
(f)				0						0
(i)				2.05						316204
Sub-Total (B)(1)				2.09						316204
( 3 )	Non-institutions									
(a(i))				20.07						2639369

(a(ii))				2.04				315055
(e)				6.11				512957
Sub-Total (B)(3)				28.21				3467381
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				30.3				3783585
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total ( A+B+C2 )				100				14554205
Total (A+B+C )				100				14554205

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ASHA GUPTA	H C GUPTA	N K GUPTA HUF	ARVIND GUPTA	ANURAG GUPTA	H C GUPTA HUF	NEERA GUPTA
PAN (II)	ACYPG1681M	AARPG6840M	AADHN1409H	ACDPG9595K	ABZPG1273F	AAAHH7353D	ABZPG1274C
No. of fully paid up equity shares held (IV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2499665	1973695	1488660	1210335	1085335	1071100	848575
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	2499665	1973695	1488660	1210335	1085335	1071100	848575
Class eg:y							
Total	2499665	1973695	1488660	1210335	1085335	1071100	848575
Total as a % of Total Voting rights	16.18	12.77	9.63	7.83	7.02	6.93	5.49
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)							

(a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
Reason for not providing PAN							
Reason for not providing PAN							

Individuals/Hindu undivided Family							
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	MANSI KANORIA	RAJEETA GUPTA	ROLLY GUPTA	MADHU AGARWAL	SHALINI GUPTA	MANOJ AGARWAL	RAJINDER KUMAR GUPTA
PAN (II)	ABAPK7168G	AGEPG4044L	AGEPG4052E	ADAPA9111D	AALPG2660F	ADMMPA1064B	AGOPG7815M
No. of fully paid up equity shares held (IV)	158700	135155	133830	103600	30835	19325	10810
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	158700	135155	133830	103600	30835	19325	10810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	158700	135155	133830	103600	30835	19325	10810
Class eg:y							

Total	158700	135155	133830	103600	30835	19325	10810
Total as a % of Total Voting rights	1.03	0.87	0.87	0.67	0.2	0.13	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	158700	135155	133830	103600	30835	19325	10810
Reason for not providing PAN							
Reason for not providing PAN							

Individuals/Hindu undivided Family		
Searial No.	15	
Name of the Shareholders (I)	URMILA AGARWAL	<a href="#">Click here to go back</a>
PAN (II)	AAVPA5652M	Total
No. of fully paid up equity shares held (IV)	1000	10770620
No. Of Partly paid-up equity shares held (V)		

No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1000	10770620
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	69.7
Number of Voting Rights held in each class of securities (IX)		
Class eg:X	1000	10770620
Class eg:y		
Total	1000	10770620
Total as a % of Total Voting rights	0.01	69.7
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.01	69.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1000	10770620
Reason for not providing PAN		
Reason for not		

providing PAN		
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Any Other (specify)		
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Searial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	PASSAGE TO INDIA MASTER FUND LIMITED	
PAN (II)	AAECP2986K	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	316204	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	316204	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.05	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	316204	0
Class eg:y		
Total	316204	0
Total as a % of Total Voting rights	2.05	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible		



securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.05	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	316204	0
Reason for not providing PAN		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>		
Serial No.	1	
Name of the Shareholders (I)	AMIT GUPTA	<a href="#">Click here to go back</a>
PAN (II)	AEAPG0275M	Total
No. of fully paid up equity shares held (IV)	215050	215050
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	215050	215050
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.39	1.39
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	215050	215050
Class eg:y		
Total	215050	215050
Total as a % of Total Voting rights	1.39	1.39
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding		

Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.39	1.39
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	215050	215050
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Clearing Members	Trusts	Foreign Individuals	Foreign Individuals
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)		QUANT CAPITAL SECURITIES PRIVATE LIMITED				DEBRA PAULY
PAN (II)		AAACQ3159M				ZZZZZ9999Z
No. of the Shareholders (I)	105	1	50	1	32	1
No. of fully paid up equity shares held (IV)	469343	198000	50545	500	423569	382000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	469343	198000	50545	500	423569	382000
Shareholding as a % of total no. of shares (calculated						

as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.04	1.28	0.33	0	2.74	2.47
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	469343	198000	50545	500	423569	382000
Class eg:y						
Total	469343	198000	50545	500	423569	382000
Total as a % of Total Voting rights	3.04	1.28	0.33	0	2.74	2.47
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.04	1.28	0.33	0	2.74	2.47
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	421343	198000	50545	0	41069	0
Reason for not providing PAN						
Reason for not providing PAN						Textual Information(1)

<b>Any Other (specify)</b>	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total

No. of the Shareholders (I)	188
No. of fully paid up equity shares held (IV)	943957
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	943957
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.11
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	943957
Class eg:y	
Total	943957
Total as a % of Total Voting rights	6.11
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.11
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized	512957

form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

<b>Text Block</b>	
Textual Information(1)	ZZZZZ9999Z